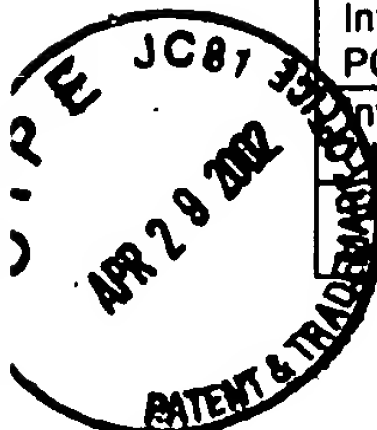


2633

#10



| TRANSMITTAL LETTER | | | | | Cas No. 56/346 |
|--------------------------------------------------|-------------------------------|------------------------------------|--------------------------------------|------------------------|------------------------|
| Int'l Pat. App. No. PCT/EP99/04972 | U.S. Serial No. 09/744,871 | Int'l Filing Date July 14, 1999 | U.S. Filing Date January 29, 2001 | Examiner unassigned | Group Art Unit 2633 |
| Inventor(s) Christian Eisenberger et al. | | | | | |
| Title of Invention OPTOELECTRONIC TRANSCEIVER | | | | | |

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TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Supplemental Information Disclosure Statement and copy of one (1) cited reference; Form 1449; and post card.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a _____ month extension of time.
- ☐ No additional fee is required.
- ☐ The fee has been calculated as shown below:

| | Claims Remaining After Amendment | | Highest No. Previously Paid For | Present Extra |
|-------------------------------------------|----------------------------------|-------|---------------------------------|---------------|
| Total | | Minus | | |
| Indep. | | Minus | | |
| First Presentation of Multiple Dep. Claim | | | | |

| Small Entity | |
|-----------------|-----------|
| Rate | Add'l Fee |
| x \$9= | |
| x \$42 | |
| +\$140= | |
| Total add'l fee | \$ |

| Other Than Small Entity | |
|-------------------------|-----------|
| Rate | Add'l Fee |
| x \$18= | |
| x \$84= | |
| +\$280= | |
| Total add'l fee | \$ |

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$_____. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$_____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

[Signature]

John C. Freeman
Registration No. 34,483
Attorney for Applicants

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, ILLINOIS 60610
(312) 321-4200

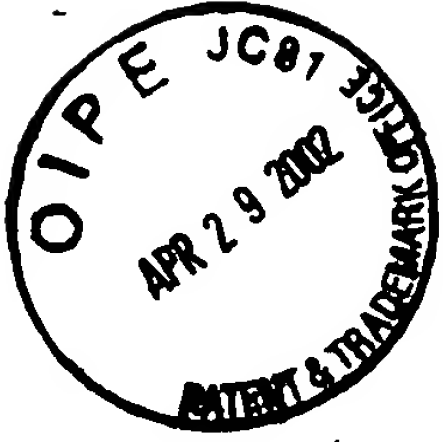
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope with sufficient postage addressed to: The Commissioner for Patents, Washington, D.C. 20231, on April 16, 2002.

Date: April 16, 2002 Signature: [Signature]

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Name of Registered Representative:

John C. Freeman
John C. Freeman, Reg. No. 34,483

April 16, 2002
Date of Signature

PATENT
CASE NO. 56/346

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application:)
)
Christian Eisenberger et al.)
) Group Art Unit: 2633
International Application)
No. PCT/EP99/04972)
)
International Filing)
Date: July 14, 1999)
)
Serial No.: 09/744,871)
) Examiner: unassigned
Filed: January 29, 2001)
)
For: OPTOELECTRONIC TRANSCEIVER)

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

In compliance with Applicants' duty of disclosure under 37 C.F.R. § 1.56 and in
conformance with 37 C.F.R. §§ 1.97-1.98, Applicants hereby submit the following application for
consideration by the Examiner. A copy of the application is enclosed along with a completed
copy of Form PTO-1449.

I. DISCLOSURE

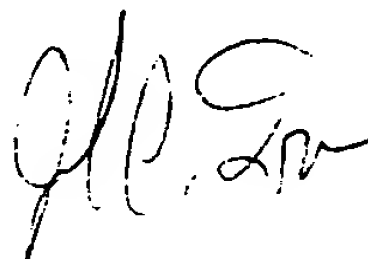
A. Pending Dr. Johannes Heidenhain GmbH U.S. Patent Applications

| <u>Application No.</u> | <u>Inventor</u> | <u>Filing Date</u> |
|-------------------------------|------------------------|---------------------------|
| 09/646,801 | Franz et al. | 09/22/00 |

II. CONCLUSION

It is not believed that this application, alone or in combination, discloses or suggests the invention claimed. However, Applicants wish to make it clear that the disclosure of the above application is in no way an admission that it qualifies as prior art. It is Applicants' desire, however, to have this application available in the record for both the Examiner and the public to see. Applicants therefore request that the Examiner review the entire disclosure of the application and make the above-listed application of record. Applicants also invite the Examiner to review the art cited in the application. A copy of the application is enclosed.

Respectfully submitted,



John C. Freeman
Registration No. 34,483
Attorney for Applicants

BRINKS HOFER
GILSON & LIONE
P.O. Box 10395
Chicago, Illinois 60610
(312) 321-4200

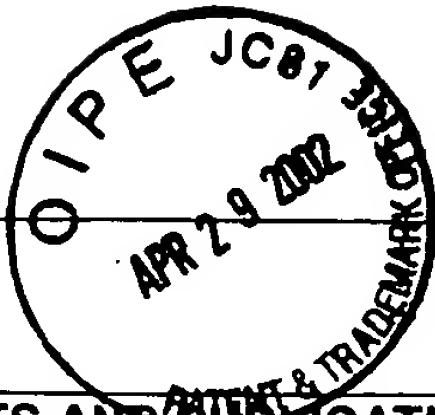
Dated: April 16, 2002

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| | | |
|------------------------------------------------------------------------------------------------------------------------------|-------------------------------------|--------------------|
| FORM PTO-1449 | INT'L PAT. APP. NO.: PCT/EP99/04972 | CASE NO. 56/346 |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary) | U.S. SERIAL NO.: 09/744,871 | |
| | INT'L FILING DATE: July 14, 1999 | GROUP ART UNIT |
| | U.S. FILING DATE: January 29, 2001 | unassigned |
| APPLICANT(S): Christian Eisenberger et al. | | |

| EXAMINER INITIAL | OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.) | |
|---------------------|------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------|
| | A1 | Pending Patent Application Assigned to Dr. Johannes Heidenhain GmbH: Serial No.: 09/646,801, Filing Date: 09/22/00, Inventor: Franz et al. |

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| | |
|----------|-----------------|
| EXAMINER | DATE CONSIDERED |
|----------|-----------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.